2005-0795A

FORM PTO 1390 - (REV 5-93)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DÓ/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371

U.S. ABPLICATION 304 835

ATTORNEY DOCKET NUMBER

International Application No. PCT/JP2004/007136

International Filing Date May 19, 2004

Priority Date Claimed May 23, 2003

Title of Invention

OPTICAL DEVICE, OPTICAL DEVICE MANUFACTURING METHOD, AND OPTICAL INTEGRATED DEVICE

Applicant(s) For DO/EO/US

Hidenobu HAMADA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. §371.
- 2. [] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. §371.
- 3. [X] This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
- 4. [] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. [X] A copy of the International Application as filed (35 U.S.C. §371(c)(2))
 - a. [X] is transmitted herewith (required only if not transmitted by the International Bureau). ATTACHMENT A
 - b. [] has been transmitted by the International Bureau.
 - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. [X] A translation of the International Application into English (35 U.S.C. §371(c)(2)). ATTACHMENT B
- 7. [] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
 - ut [] are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. [] have been transmitted by the International Bureau.
 - ci [] have not been made; however, the time limit for making such amendments has NOT expired.
 - d. [] have not been made and will not be made.
- 8. [] A translation of the amendments to the claims under PCT Article 19.
- 9. [X] An (EXECUTED) oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)). ATTACHMENT C
- 10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98. ATTACHMENT D
- 12. [X] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

ATTACHMENT E

- 13. [X] A FIRST preliminary amendment. ATTACHMENT F
 - [] A SECOND or SUBSEQUENT preliminary amendment.
- 14. [X] Other items or information:

Submission of Formal Replacement Drawing - ATTACHMENT G

Notification Concerning Submission or Transmittal of Priority Document - ATTACHMENT H

JC14 Rec'd PCT/PTO 13 MAY 2005

May 13, 2005

[CHECK NO._

[2005-0795A]

JUIA UEC U LO IN LE 2003						
U.S. APPLICATION NO NEW	U.S. APPLICATION 107534835 INTERNATIONAL APPLICATION NO. PCT/JP2004/007136				ATTORNEY'S DOCKET NO. 2005-0795A	
15. [X] The following fees are submitted					CALCULATIONS (3)	PTO USE ONLY
Basic National Stage Fee \$300.00 National Stage Search Fee \$400.00 National Stage Examination Fee \$200.00 Specification/drawings in excess of 100 pages € units of 50 x \$250.00) = \$1,500.00						
ENTER APPROPRIATE BASIC FEE AMOUNT =					\$2,400.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$	
Claims	Number Filed	Number	Extra_	Rate		
Total Claims	123 -20 =	103		X \$50.00	\$5,150.00	
Independent Claims	12 -3=	9		X \$200.00	\$1,800.00	
Multiple dependent claim(s) (if applicable) + \$360.00					\$	
TOTAL OF ABOVE CALCULATIONS =					\$9,350.00	
[] Small Entity Status is hereby asserted. Above fees are reduced by 1/2.					\$	
SUBTOTAL =					\$9,350.00	
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$	
TOTAL NATIONAL FEE =					\$9,350.00	·
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +					\$40.00	
TOTAL FEES ENCLOSED =					\$9,390.00	
					Amount to be refunded	S
					Amount to be charged	\$
a. [X] A check in the amount of \$9,390.00 to cover the above fees is enclosed. A duplicate copy of this form is enclosed. b. [] Please charge my Deposit Account No.23-0975 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No.23-0975.						
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.						
19. CORRESPONDENCE ADDRESS CUSTOMER NO.			By: Nils E. Pedersen , Registration No. 33,145			
00	WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone:(202) 721-8200 Fax:(202) 721-8250					

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Hidenobu HAMADA

Mail Stop: PCT

Serial No. NEW

Attorney Docket No. 2005-0795A

Filed May 13, 2005

OPTICAL DEVICE, OPTICAL DEVICE MANUFACTURING METHOD, AND OPTICAL INTEGRATED DEVICE [Corresponding to PCT/JP2004/007136 Filed May 19, 2004]

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

SUBMISSION OF FORMAL REPLACEMENT DRAWING

.Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached hereto is a formal replacement drawing for Figure 17b, changing figure label "b" to --B--.

Respectfully submitted,

Hidenobu HAMADA

Nils E. Pedersen

Registration No. 33,145 Attorney for Applicant

NEP/krg Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 May 13, 2005